

Adoption, Diffusion, and Implications of Innovative Nursing Dissertation Models



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AACN Doctoral Nursing Conference
January 17-19, 2019

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Study Team

- Janessa M. Graves, PhD MPH
 - Assistant Professor
 - Assistant Dean for Undergraduate and Community Research
- Electra Enslow, MA AHIP
 - Head of Library Research and Instruction
- Kyle W. Ross, EdD(c)
 - Nursing Academic Coordinator and Advisor

Presentation outline

- Dissertation models
 - Traditional vs. Alternative
 - Advantages vs. Disadvantages
- Current practices in nursing PhD programs
- Effectiveness of alternative models in improving dissemination
 - Study 1
 - Study 2
- Next Steps
- Conclusions

Studies 1 and 2

Study 1

- How do U.S. nursing doctoral programs approach alternative manuscript formats?

Study 2

- How effective are alternative manuscript formats for nursing doctoral students?

Background

- In 2010, <1% of nurses held a doctorate degree in nursing or a nursing-related field¹
- The Future of Nursing Recommendation:
 - “Double the Number of Nurses with a Doctorate by 2020”¹
- Major initiatives to increase doctorally prepared nurses
 - Jonas Center for Nursing and Veterans Healthcare
 - Rita & Alex Hillman Foundation
 - RWJF

The Dissertation

- Culmination of PhD education
- Exhaustive scholarly research
- Basis of academic resume
- Demonstrates professional proficiency in research



Traditional Dissertation Format

- Multiple chapters unified as a single document
 - Introduction
 - Literature review
 - Methods
 - Results
 - Conclusions
- Most common format in nursing and other disciplines

Alternative Dissertation Formats

According to one of those often-quoted statistics that should be true but probably isn't, the average number of people who read a PhD thesis all the way through is 1.6. And that includes the author.¹

- Mean number of pages of a thesis/dissertation increased from ~100 in the 1950s to ~200 currently – ProQuest²
- Recommendations have been made to “reimagine the dissertation” in many disciplines
- Examples of alternative formats³:
 - Multiple(3)-paper dissertation with distinct yet cohesive manuscripts suitable for publication in scholarly journals
 - Lengthy one-paper manuscript
 - Novel
 - Portfolio

¹ The past, present and future of the PhD thesis. *Nature*. 2016, vol. 535, n° 7610, pp. 7-7. DOI: [10.1038/535007a](https://doi.org/10.1038/535007a)

² Gold, J. What's the point of the PhD thesis? *Nature*. 2016, vol. 535, n° 7610, pp. 26-28. DOI: [10.1038/535026a](https://doi.org/10.1038/535026a)

³ Watson, D. L., & Nehls, K. (2016). Alternative dissertation formats: Preparing scholars for the academy and beyond. In *Contemporary Approaches to Dissertation Development and Research Methods* (pp. 43-52). IGI Global.

“Manuscript Option” Dissertation Format



“Manuscript Option” Dissertation Format

| Traditional Format | Manuscript Option Format |
|--|--|
| I. 5-6 chapters; Introduction/significance/scope | I. 5 “chapters”; Introduction and overview of topic and three papers |
| II. Review of literature | II. Review of literature; systematic review; theoretical framework |
| III. Methods (design, sample, measures, analytic strategy) | III. One data-based manuscript |
| IV. Findings | IV. Two data-based manuscripts |
| V. Discussion and Recommendations | V. Discussion and Recommendations |

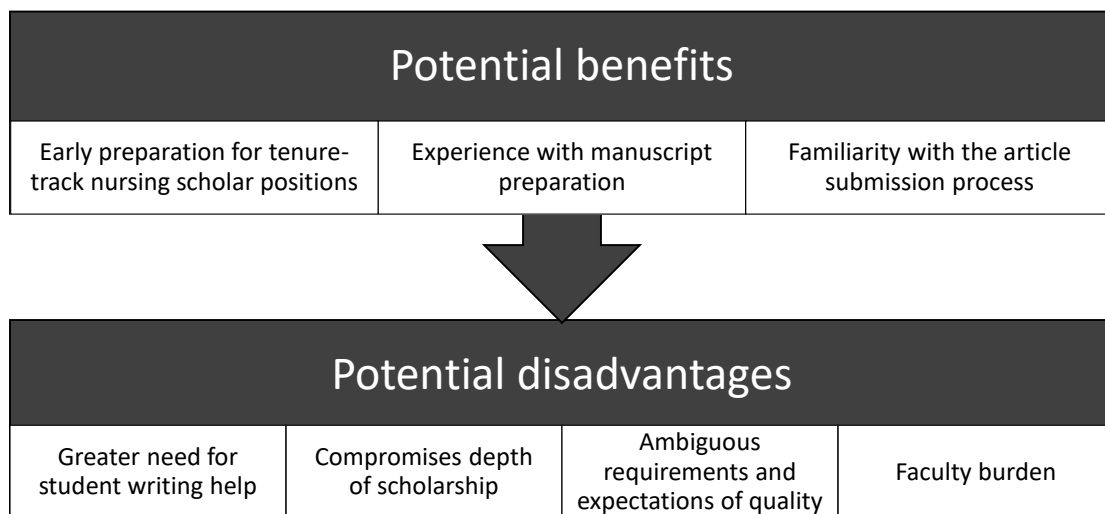
Table 2. Example of Three Option Manuscripts in Nursing Science

| PhD Nursing Science (Indiana University) | PhD in Nursing with Informatics Concentration (Blekinge Institute of Technology, Sweden) |
|--|---|
| <p>Giambra, B. K., Sabourin, T., Broome, M. E., & Buelow, J. (2014). The theory of shared communication: How parents of technology dependent children communicate with nurses on the inpatient unit. <i>Journal of Pediatric Nursing</i>, 29(1), 14-22. PMID: 23583360</p> <p>Giambra, B. K., Stiffler, D., & Broome, M. E. (2014). An integrative review of communication between parents and nurses of hospitalized technology-dependent children. <i>Worldviews on Evidence-Based Nursing</i>, 11(6), 369-375. PMID: 25230724</p> <p>Giambra, B., Broome, M.E., Sabourin, T., Buelow, J.M., & Stiffler, D. (2017). Integration of Parent and Nurse Perspectives of Communication to Plan Care for Technology Dependent Children: The Theory of Shared Communication. <i>Journal of Pediatric Nursing</i>. PMID: 28169035, DOI: 10.1016/j.pedn.2017.01.014</p> | <p>Georgsson, M., & Staggers, N. (2016). Quantifying usability: an evaluation of a diabetes mHealth system on effectiveness, efficiency, and satisfaction metrics with associated user characteristics. <i>Journal of the American Medical Informatics Association: JAMIA</i>, 23(1), 5-11. https://doi.org/10.1093/jamia/ocv099</p> <p>Georgsson, M., & Staggers, N. (2016). An evaluation of patients' experienced usability of a diabetes mHealth system using a multi-method approach. <i>Journal of Biomedical Informatics</i>, 59, 115-129. https://doi.org/10.1016/j.jbi.2015.11.008</p> <p>Georgsson, M., Staggers, N., & Weir, C. (2016). A Modified user-oriented heuristic evaluation of a mobile health system for diabetes self-management Support. <i>Computers, Informatics, Nursing: CIN</i>, 34(2), 77-84. https://doi.org/10.1097/CIN.0000000000000209</p> <p>Georgsson, M., & Staggers, N. (2016). Heuristic evaluation of an mHealth diabetes self-management system using disease specific patient profiles. <i>Studies in Health Technology and Informatics</i>, 225, 530-534. Retrieved from https://www.ncbi.nlm.nih.gov/pubmed/27332257</p> <p>Georgsson, M., & Staggers, N. (2016). A practical method for data handling in multi-method usability research studies. <i>Studies in Health Technology and Informatics</i>, 228, 302-306. Retrieved from https://www.ncbi.nlm.nih.gov/pubmed/27577392</p> |

“Manuscript Option” Dissertation Format

- First paper
 - Theoretical framework, if original
 - Concept analysis
 - Systematic review
 - Methodology
 - The protocol for a clinical trial
 - Pilot study findings
- Other papers
 - Data-based study findings
- Caveats
 - “Publishable quality”
 - Published at defense vs. not
 - Authorship
 - Revisions
- Challenges
 - Copyright
 - Salami slicing

Benefits/challenges of the manuscript option



Study 1

How do U.S. nursing doctoral programs approach alternative manuscript formats?

Graves JM, Kehoe L, Postma J, Katz J, Swalling E, Barbosa-Leiker C. Alternative dissertation formats in nursing education: Results from a national survey. *J Nurs Scholarship*. 2018;50:3:1-10.

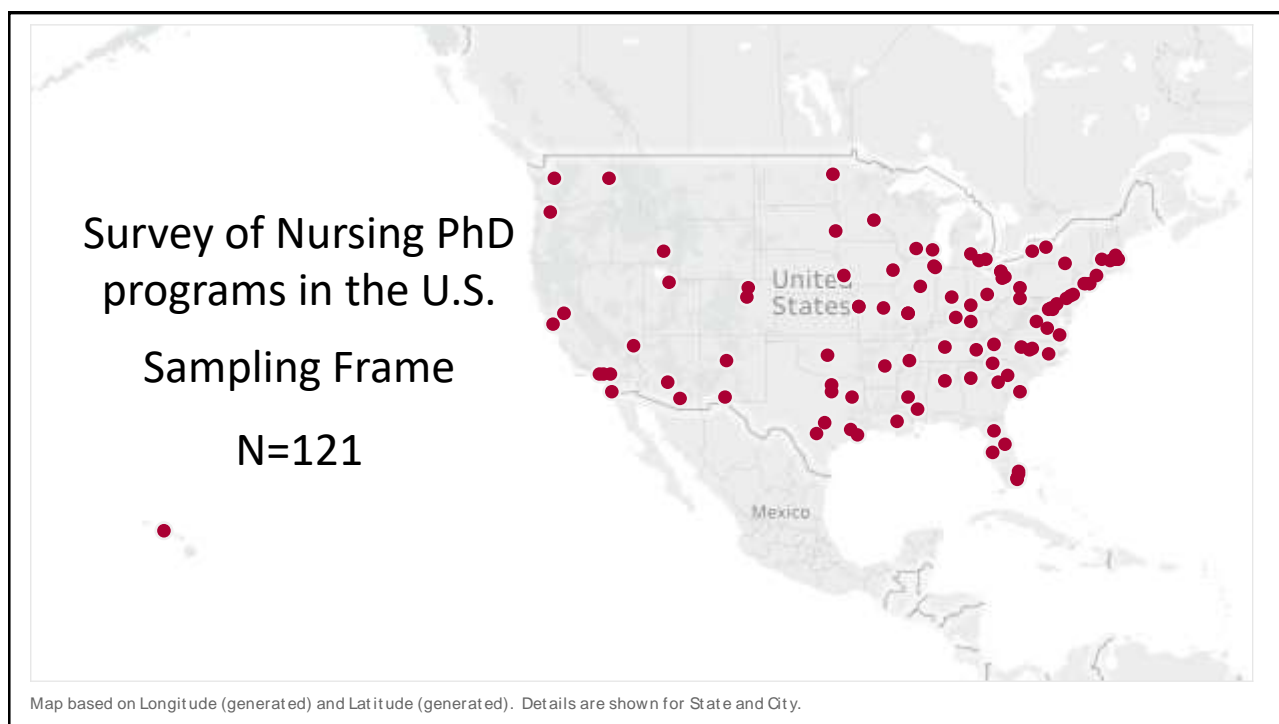
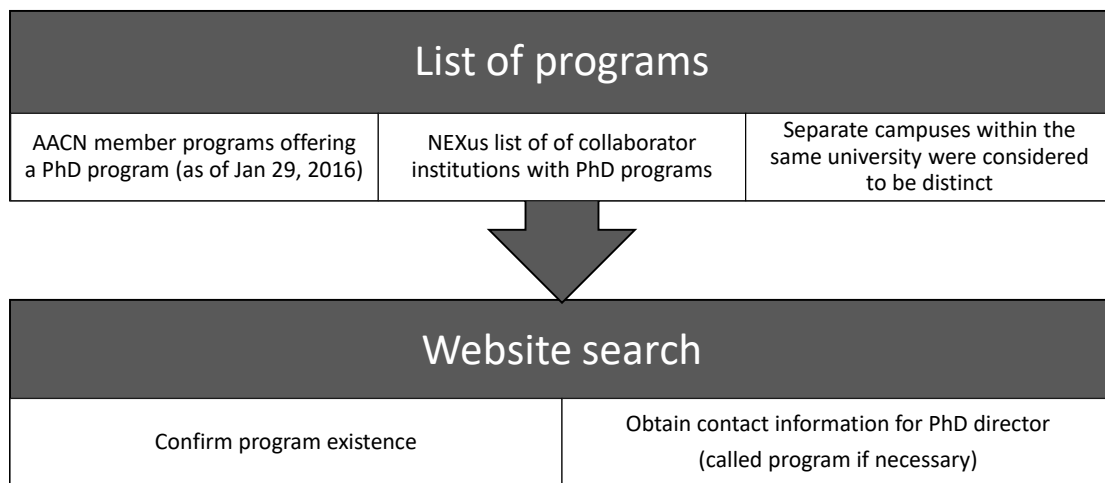


Study 1 Objective

Explore the use of the manuscript option format by U.S. doctoral programs

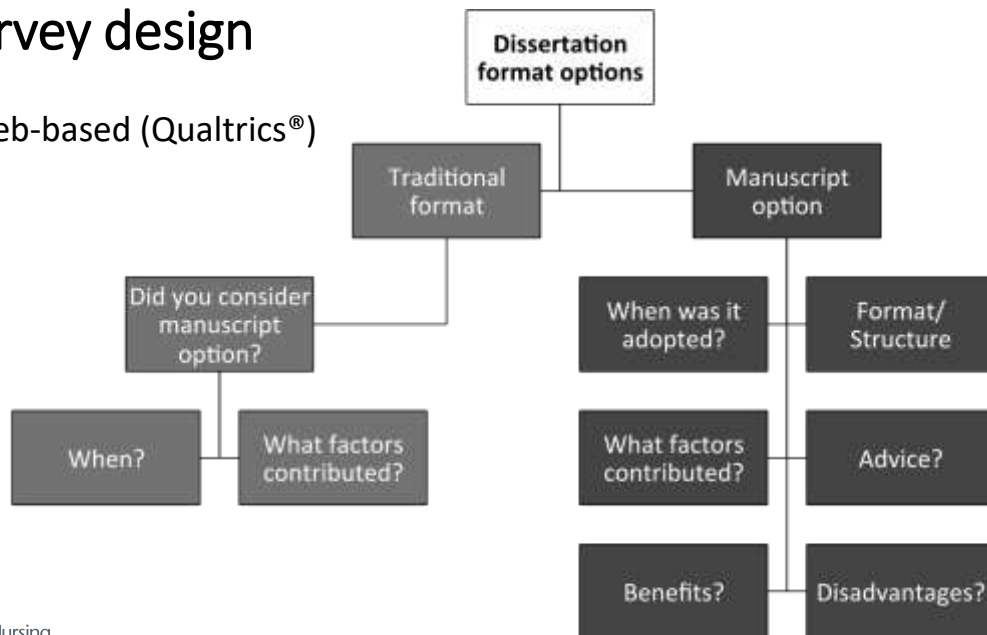
1. Proportion of programs employing each dissertation format
2. Details about manuscript option
3. Factors contributing to adopting (or not adopting) the alternative

Survey Sampling and Administration



Survey design

- Web-based (Qualtrics®)



Study 1 outcomes

Quantitative outcome measures

- Types of dissertation formats
- Factors contributing to adoption (or not)

Qualitative outcomes of interest

- Factors contributing to adoption (or not)
- Advantages/disadvantages
- Factors/issues for programs to consider
- Advice

Analysis

Quantitative analysis

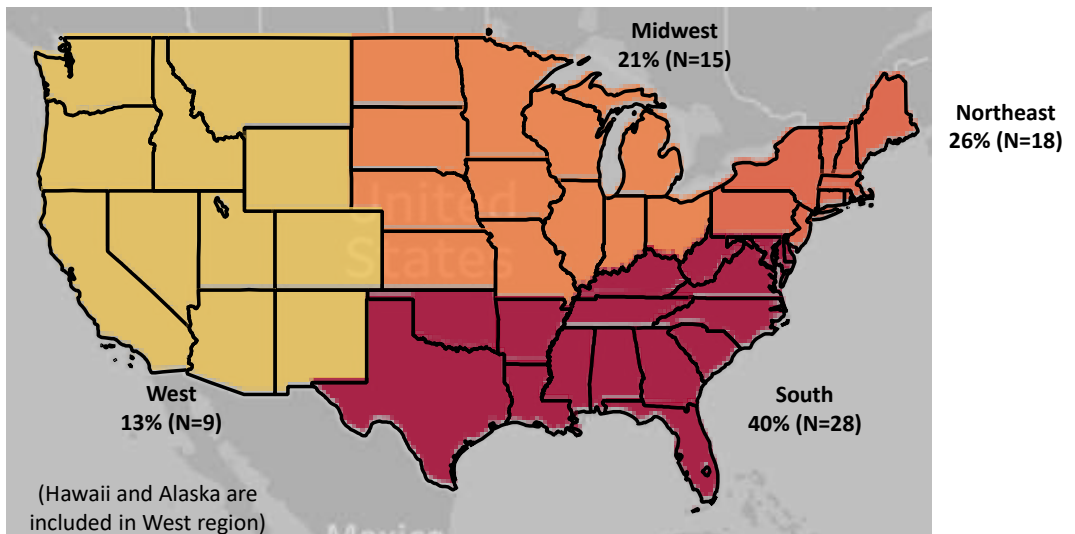
- Inductive content analysis with a qualitative descriptive approach
 - Preliminary thematic categories & coded text
 - Iterative development of themes
 - Identification of exemplar quotes

Quantitative analysis

- Descriptive statistics

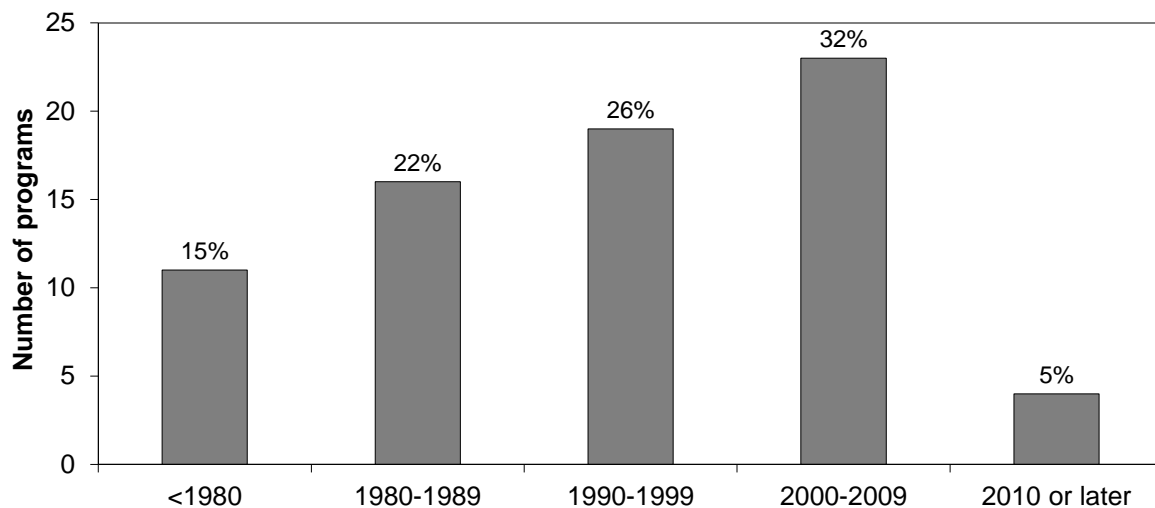
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Respondent locations



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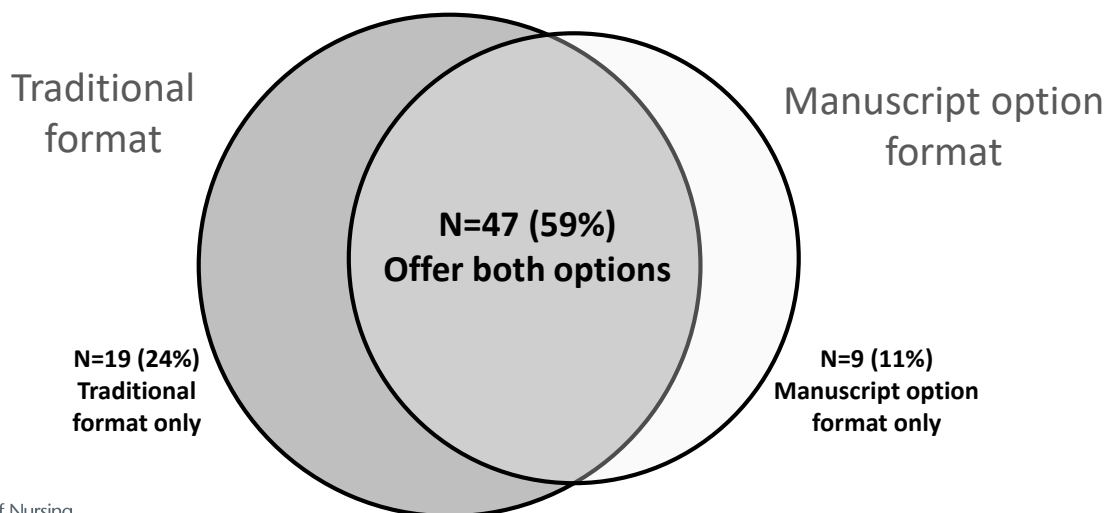
When was the first entering PhD class?



College of Nursing

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Dissertation formats offered

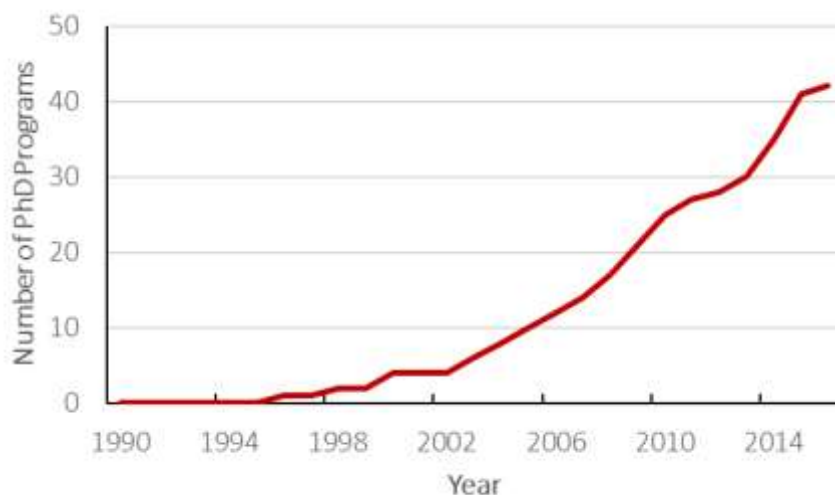


College of Nursing

No response (N=4)

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Year manuscript option was adopted



Note: Responses are only from programs that offer the manuscript option and responded to this question.

Why not adopt?

- Half of the schools with “Traditional” format dissertations only reported having considered the Manuscript Option in the past
- Factors playing a role in decision not to adopt (not mutually exclusive):
 - Lack of PhD program faculty support for the manuscript option (33%)
 - Challenge(s) with University/College regarding issues such as copyrights (22%)
 - Perception that alternative dissertations (such as the manuscript option) are less rigorous than traditional formats (22%)
 - Concern(s) about ambiguous requirements for manuscript option dissertations
 - Concern(s) about quality (22%)
 - Not all dissertations are suitable for manuscript option format (22%)


Note: Responses are only from programs that considered but did not offer the manuscript option. Respondents could choose from categorical factors (may choose more than one).

Why not adopt? (Qualitative text)

- Editor fatigue
- External factor(s) (e.g., other university programs)
- Time (concerns about program progression)
- Unclear policies and procedures
- Faculty educational philosophy
- Limits depth in topic
- Few publication opportunities

“Watered down the process”

“Concern for quality of manuscripts submitted for publication and ‘flooding’ journals with manuscripts that burden editors and reviewers”


 College of Nursing **Note:** Responses are only from programs that considered but chose to not offer the manuscript option. Open-ended question.

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Why adopt?

Considerations that lead to offering the manuscript option

- PhD program faculty supported the manuscript option (90%)
- Potential to increase the transition of student nurse scholars to academic positions (86%)
- Students gain firsthand experience in publishing (84%)
- Better prepare students for a career in academia (78%)
- Increased competitiveness when searching for future jobs (“jump start”) (68%)

 College of Nursing **Note:** Responses are only from programs that offer the manuscript option. Respondents could choose from categorical factors (may choose more than one). Only responses endorsed by >50% of respondents are listed here.

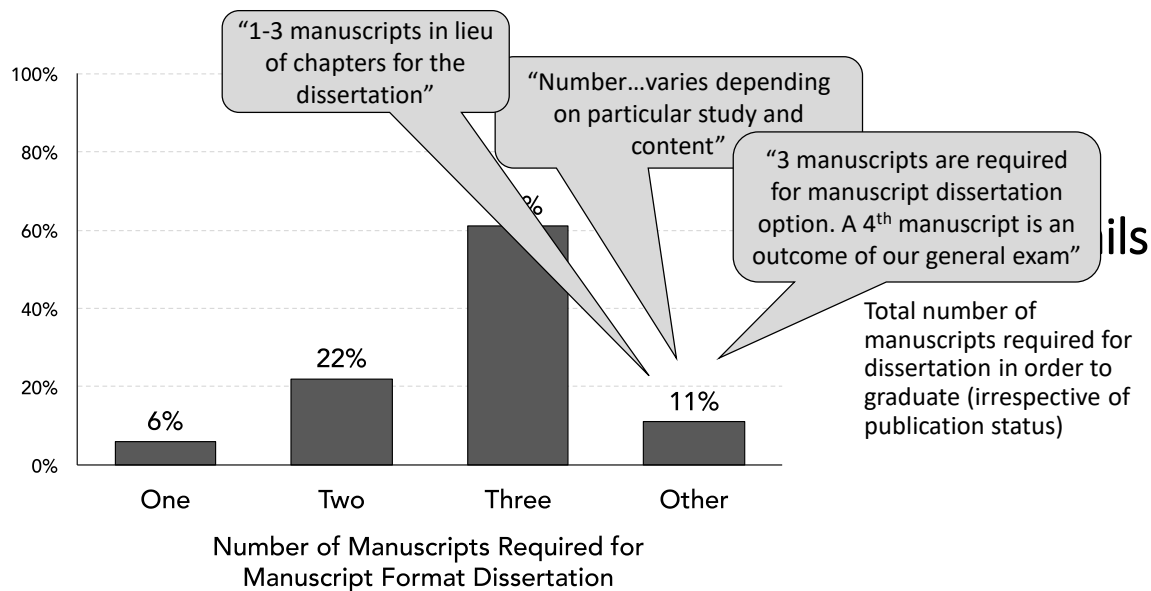
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Why adopt? (Qualitative text)

- Generate publications for the graduate student
- Learn the publication process
- External factors (e.g., support for the option through the graduate school)
- Time (for faculty and student)

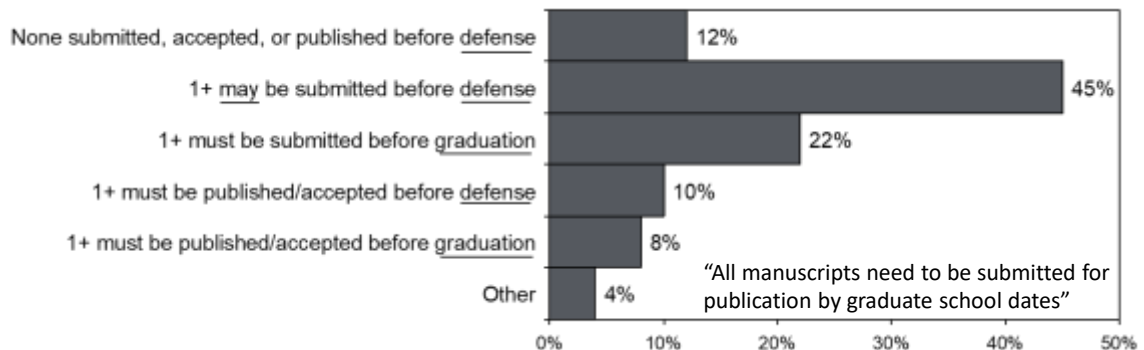
“Graduates may fail to publish manuscripts from their traditional format dissertation for a variety of reasons including lack of time after assuming a full time paid position and lack of appropriate mentoring.”

“Being allowed/encouraged to write manuscripts while still a student was thought to increase their scholarly productivity while being effectively mentored.”



Manuscript option details

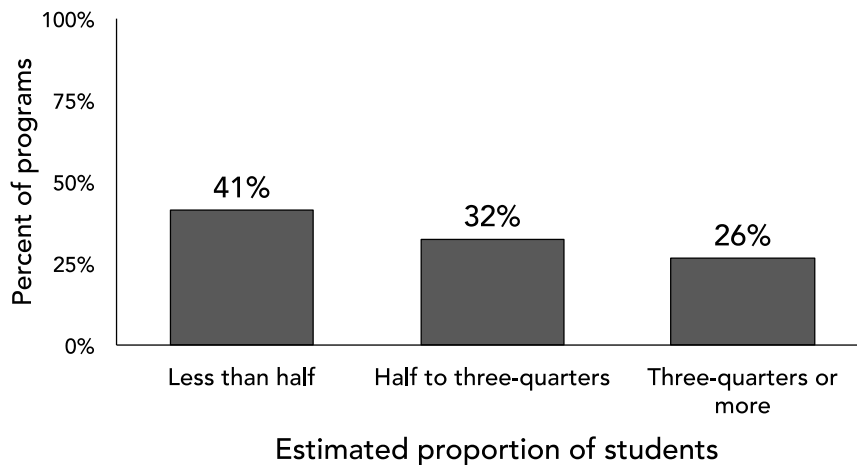
- Policies regarding submission of dissertation manuscripts to journals
 - Most institutions noted that 1+ manuscripts may be submitted before defense



Note: Responses are only from programs that offer the manuscript option.

Manuscript option details

- How popular is the manuscript option among students?



Note: Responses are only from programs that offer the manuscript option *and* traditional format (N=34). Question was open-ended and coded. Eight (N=8) programs provided lengthier text responses/explanations not summarized here.

Manuscript option advantages

- Generate publications for the student, faculty, and/or external members
- Recruitment to the PhD program
- Learn the publication process
- Strengthen the expectation for publications
- Committee/faculty engagement

“The biggest one is that they publish their dissertation sooner”

“Encouraging scholarly productivity expectations of our graduates.”

“A potential thank you to external members who, if they meet the guidelines, will be a co-author”

Manuscript option disadvantages

- Formatting (e.g., complying with graduate school guidelines)
- Limits depth in topic
- Faculty resistance (time/workload)
- Academic ownership/copyright
- Student writing skills (incl. ESL)
- Lack of faculty preparedness
- Time to publication & student progression
- Addressing conflicts between committees and reviewers
- Negotiating co-authorship

“Writing for narrow purposes may limit in-depth exploration”

“Might take longer if a student has difficulty getting a manuscript accepted”

“Lack of experience among faculty with this approach”

Advice from respondents

| | |
|-----------------------------|---|
| Student admissions | <ul style="list-style-type: none">• Match dissertation format to student needs/background• Preparation for future nursing scholars |
| Writing support | <ul style="list-style-type: none">• Needed for students and faculty |
| Faculty experience | <ul style="list-style-type: none">• Own program of research• Workload may be greater for manuscript option |
| Clear policies & procedures | <ul style="list-style-type: none">• Detailed, specific expectations and requirements |
| Warnings | <ul style="list-style-type: none">• Institutional readiness• Workload for faculty |

Study 1 Conclusions

Manuscript format dissertations

- Commonly offered
- Results in publications
- Contributes to nursing scholarship
- Prepares future nurse scholars
- Challenges may arise in implementation



Yet questions lingered...

Manuscript option advantages

- Generate publications for the student, faculty, and/or external members
- Recruitment to the PhD program
- Learn the publication process
- Strengthen the expectation for publications
- Committee/faculty engagement

"The biggest one is that they publish their dissertation sooner"

"Encouraging scholarly productivity expectations of our graduates."

"A potential thank you to external members who, if they meet the guidelines, will be a co-author"

Study 2

How effective are alternative manuscript formats for nursing doctoral students?

Compared to the traditional format, do students who chose the manuscript format...

- ...publish their dissertation-related research sooner?
- ...generate more publications?
- ...have greater “scholarly productivity”?

Study 2

How effective are alternative manuscript formats for nursing doctoral students?

Compared to the traditional format, do students who chose the manuscript format...

- ...publish their dissertation-related research sooner?
- ...generate more publications?
- ...have greater “scholarly productivity”?

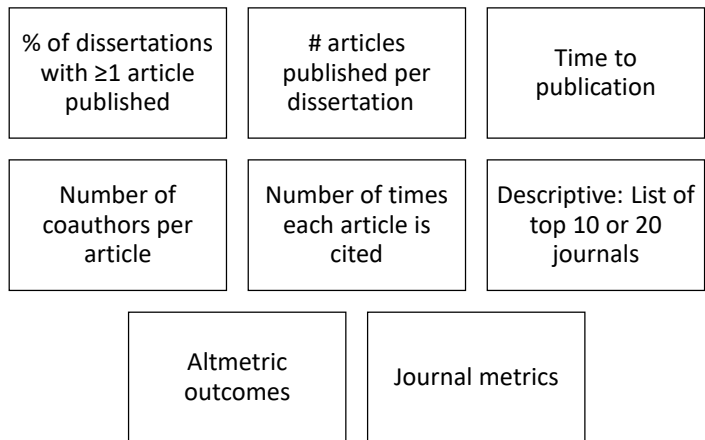
*Do they have more coauthors?
Fewer?*

Are the articles cited more often?

Do their altmetrics differ?

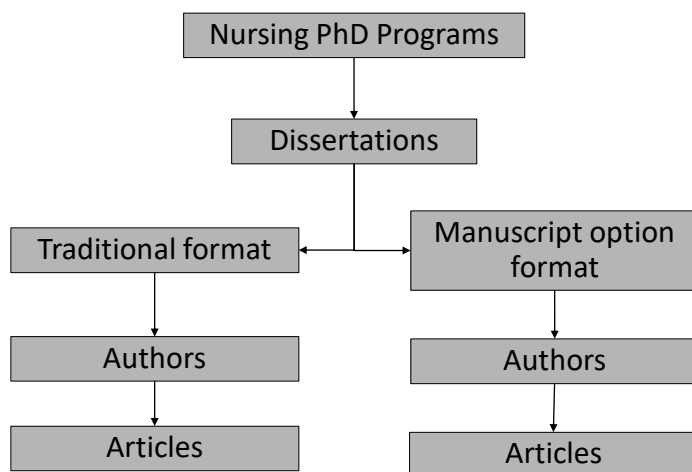
Where are they publishing?

Study 2 outcomes



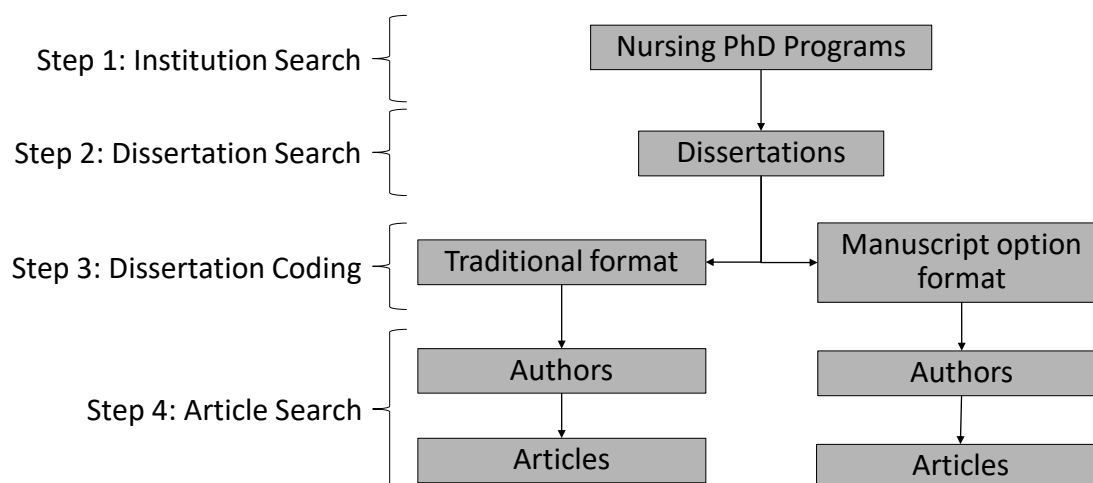
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Study 2 Design



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Study 2 Design



Step 1: Institution Search

- Inclusion criteria
 - Schools/Colleges of Nursing
 - Located in the U.S.
 - Offer traditional & manuscript option dissertations
 - Date of adoption of manuscript option \leq 2010

Step 2: Dissertation Search

Example

Search terms - (College of Nursing) AND sch.Exact("Washington State University")
Additional limits - Date: After 2019 December 31; Manuscript type: Doctoral dissertations

- ProQuest Dissertations & Theses Database (PQDT)
- Search from date of offering manuscript dissertation format option
- Search terms:
 - College/School of Nursing name (anywhere)
 - University name (exact, in school field)
- Additional limits: Dates, Manuscript type
- Review for eligibility
 - Discipline
 - Degree type

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Step 3: Dissertation Coding

This overview of the dissertation will present (a) a discussion of the changes made from the research proposal, (b) an overview of the three papers that were written for partial completion of the final dissertation, (c) a report of the research findings that were not included in the three papers as well as a discussion of the reasons for exclusion of the findings, and (d) a brief discussion for two manuscripts to be written post-dissertation based on the findings from this research study. Following this introductory section, there are four additional sections that constitute the final dissertation to be submitted to the

4

dissertation committee: (a) the dissertation proposal approved by the committee in September 2009, (b) manuscript number one, (c) second paper, (d) final paper reporting the results.

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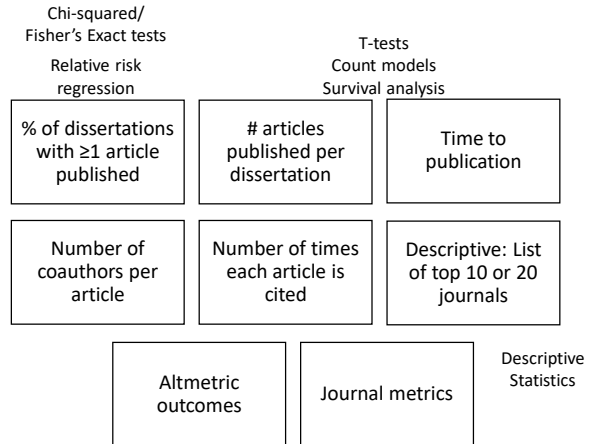
Step 4: Article Search

- PubMed, CINAHL, PsychInfo, Google Scholar
- Author search
 - For very common names (e.g., Jennifer Smith), restrict search to nursing journals only (PubMed)
- Year restriction (1 year prior to dissertation defense and forward)
- Export
 - Endnote
 - Excel

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Analysis

- Descriptive statistics
- Manuscript option vs. traditional format

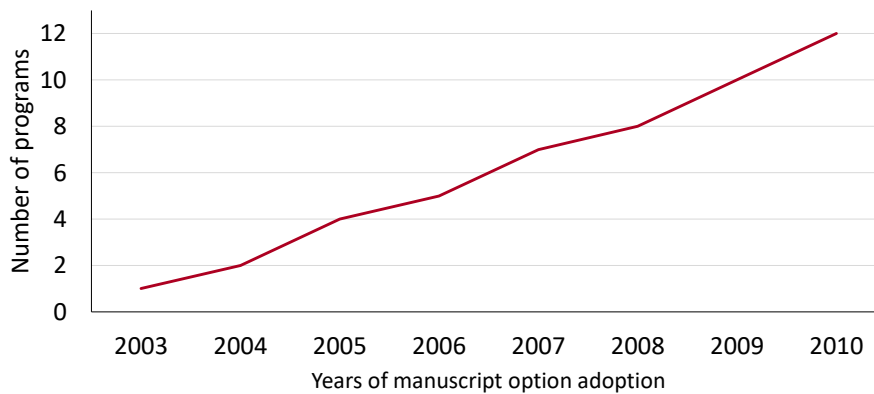


Clustering: By institution, dissertation

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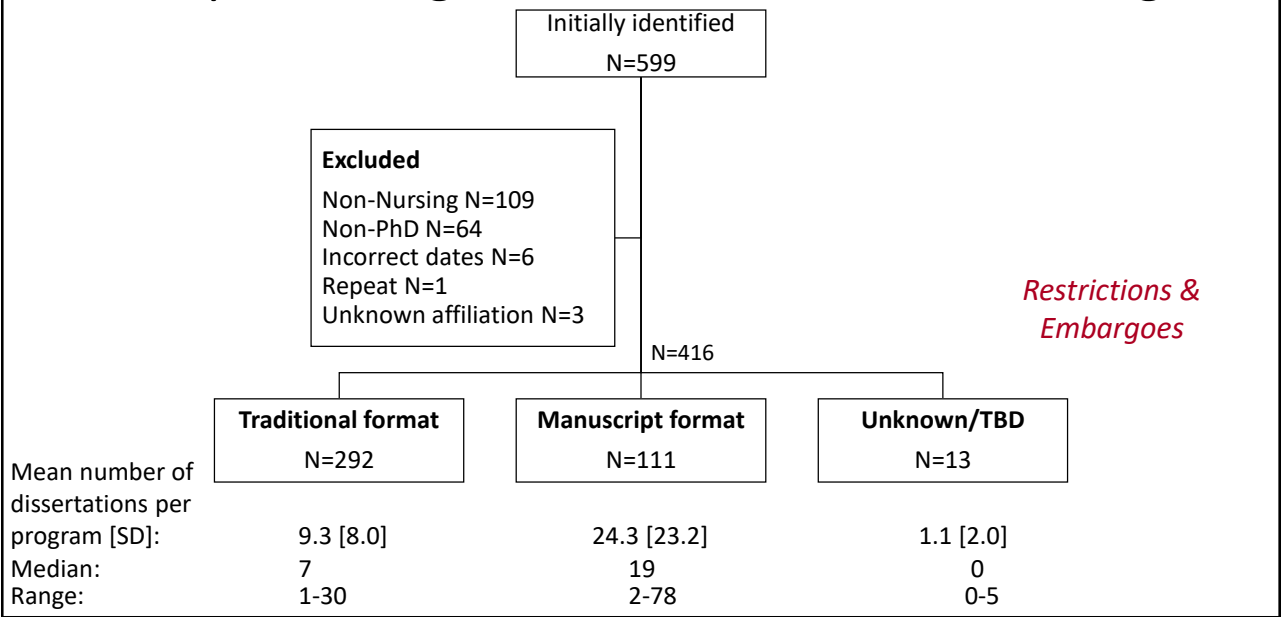
Study 2 Findings

- N=12 Nursing PhD programs met inclusion criteria
 - Evenly dispersed geographically



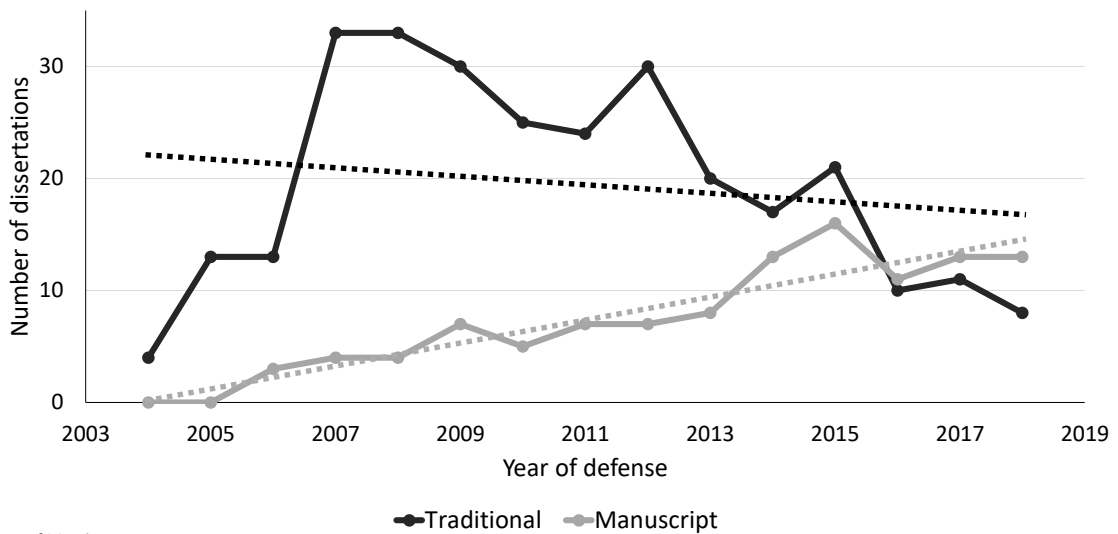
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Study 2 Findings: Dissertation search & coding



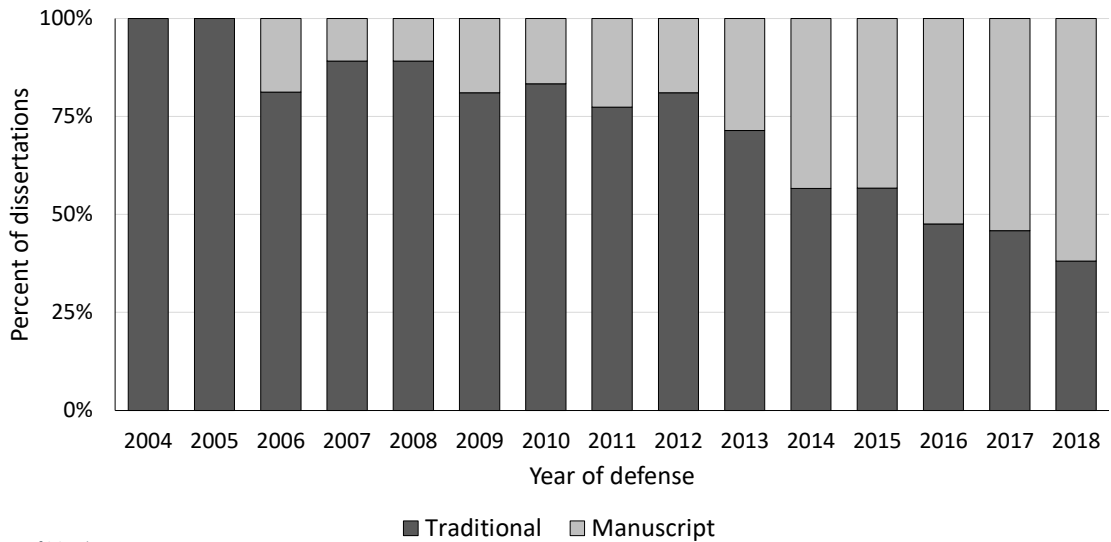
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Study 2 Findings



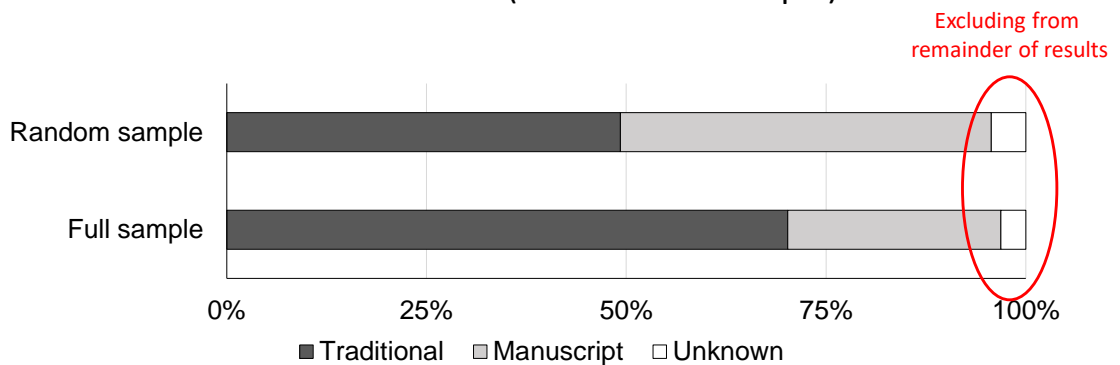
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Study 2 Findings



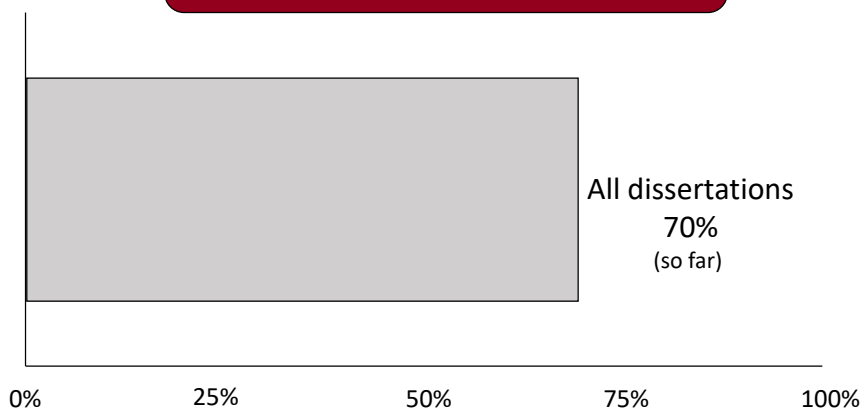
Study 2 Findings: Preliminary article search

- 60 dissertations searched so far (14% random sample)



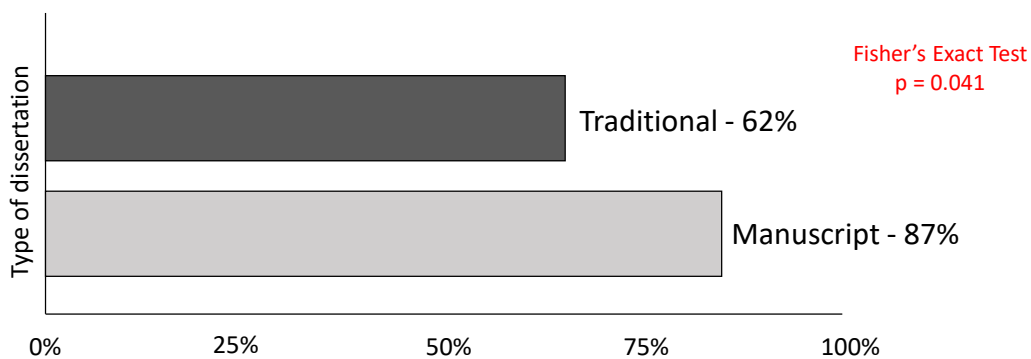
Study 2 Findings:

Percent of dissertations with
≥ 1 published article



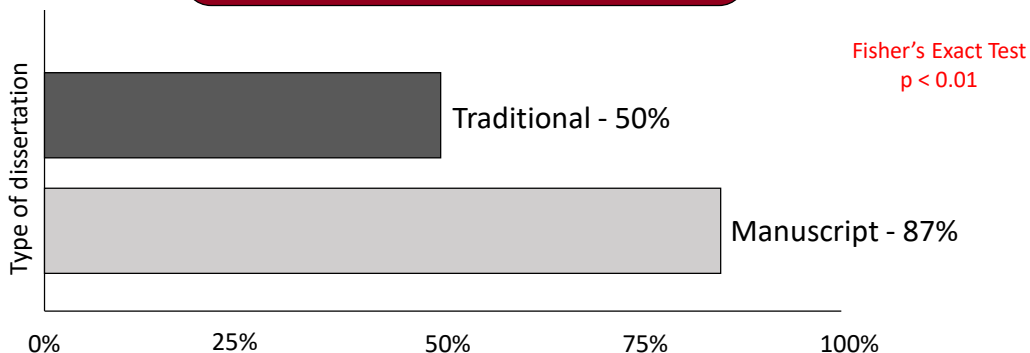
Study 2 Findings:

Percent of dissertations with
≥ 1 published article



Study 2 Findings:

**Percent of dissertations with
≥ 1 published article
within 3 years of defense**



Study 2 Findings:

**Likelihood of having
≥ 1 one published article**

Modified Poisson

(Std. Err. adjusted for 12 clusters in institution)

| atleastone | IRR | Robust Std. Err. | z | P> z | [95% Conf. Interval] | |
|------------------------|-----------------|------------------|-------|--------------|----------------------|-----------------|
| type_int Manuscript | 1.498284 | .2005081 | 3.02 | 0.003 | 1.152609 | 1.947629 |
| → year | .968293 | .0303066 | -1.03 | 0.303 | .9106784 | 1.029553 |
| _cons | 8.26e+27 | 5.20e+29 | 1.02 | 0.307 | 2.28e-26 | 3.00e+81 |

Compared to traditional format dissertations, manuscript format dissertations are 50% more likely to result in at least one publication

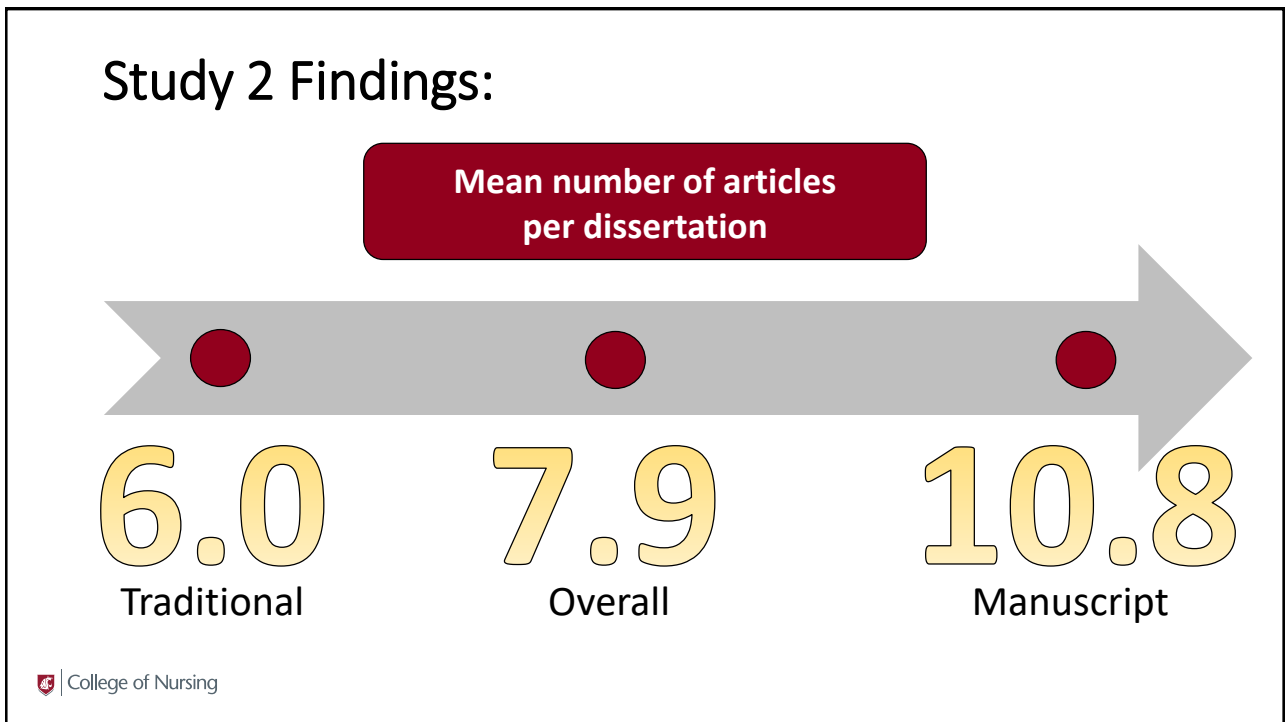
Modified Poisson (Std. Err. adjusted for 12 clusters in institution)

| atleastone | IRR | Robust Std. Err. | z | P> z | [95% Conf. Interval] | |
|------------------------|----------|------------------|--------|-------|----------------------|----------|
| type_int Manuscript | 1.468301 | .2013334 | 2.80 | 0.005 | 1.122273 | 1.92102 |
| year | | | | | | |
| 2005 | 1 | . | . | . | . | . |
| 2006 | 1279897 | 1478800 | 12.17 | 0.000 | 132951.1 | 1.23e+07 |
| 2007 | 1366302 | 1467853 | 13.15 | 0.000 | 166371.6 | 1.12e+07 |
| 2008 | 866633.1 | 730593.7 | 16.22 | 0.000 | 166054.5 | 4522929 |
| 2009 | 1194169 | 1267004 | 13.19 | 0.000 | 149261.3 | 9553981 |
| 2010 | 796140.9 | 848546 | 12.75 | 0.000 | 98573.23 | 6430147 |
| 2011 | 986484.6 | 1042804 | 13.06 | 0.000 | 124248.9 | 7832277 |
| 2012 | 1279897 | 1418159 | 12.69 | 0.000 | 145888.7 | 1.12e+07 |
| 2013 | 910898.5 | 1024494 | 12.20 | 0.000 | 100488.9 | 8256995 |
| 2014 | 639962.9 | 764594 | 11.19 | 0.000 | 61543.77 | 6654655 |
| 2015 | 986550.8 | 1074560 | 12.67 | 0.000 | 116676.4 | 8341724 |
| 2016 | 1579543 | 1651389 | 13.65 | 0.000 | 203522.6 | 1.23e+07 |
| 2017 | 639962.9 | 765114.3 | 11.18 | 0.000 | 61445.78 | 6665268 |
| 2018 | 358655.8 | 410534.1 | 11.17 | 0.000 | 38049.85 | 3380669 |
| _cons | 6.33e-07 | 6.62e-07 | -13.66 | 0.000 | 8.16e-08 | 4.91e-06 |

College of Nur

Pedroza C, Truong VTT. Estimating relative risks in multicenter studies with a small number of centers – which methods to use? A simulation study. *Trials*. 2017 Nov 2;18(1):512. N=57

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Study 2 Findings:

**Mean number of articles
per dissertation**

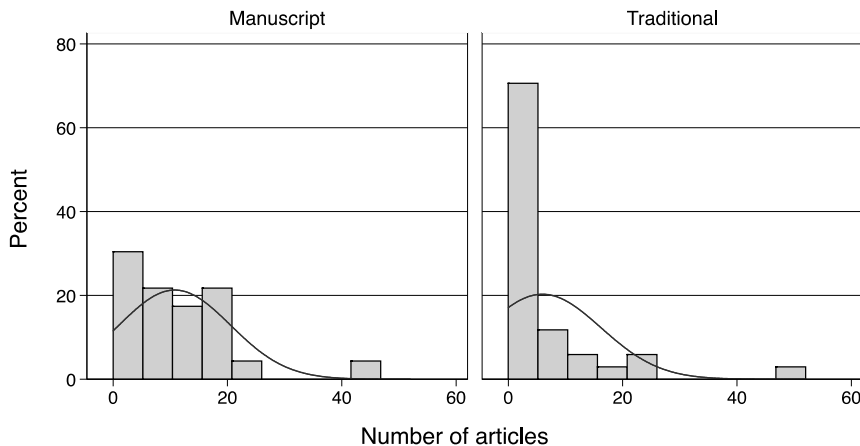
Two-sample t test with equal variances

| Group | Obs | Mean | Std. Err. | Std. Dev. | [95% Conf. Interval] | |
|----------|-----|----------|-----------|-----------|----------------------|----------|
| Manuscri | 23 | 10.78261 | 2.033277 | 9.751254 | 6.56585 | 14.99937 |
| Traditio | 34 | 5.970588 | 1.754792 | 10.23211 | 2.400436 | 9.54074 |
| combined | 57 | 7.912281 | 1.355459 | 10.23349 | 5.19697 | 10.62759 |
| diff | | 4.81202 | 2.711298 | | -.6215419 | 10.24558 |

diff = mean(Manuscri) - mean(Traditio) t = 1.7748
Ho: diff = 0 degrees of freedom = 55

Study 2 Findings:

**Mean number of articles
per dissertation**



Study 2 Findings:

Mean number of articles per dissertation

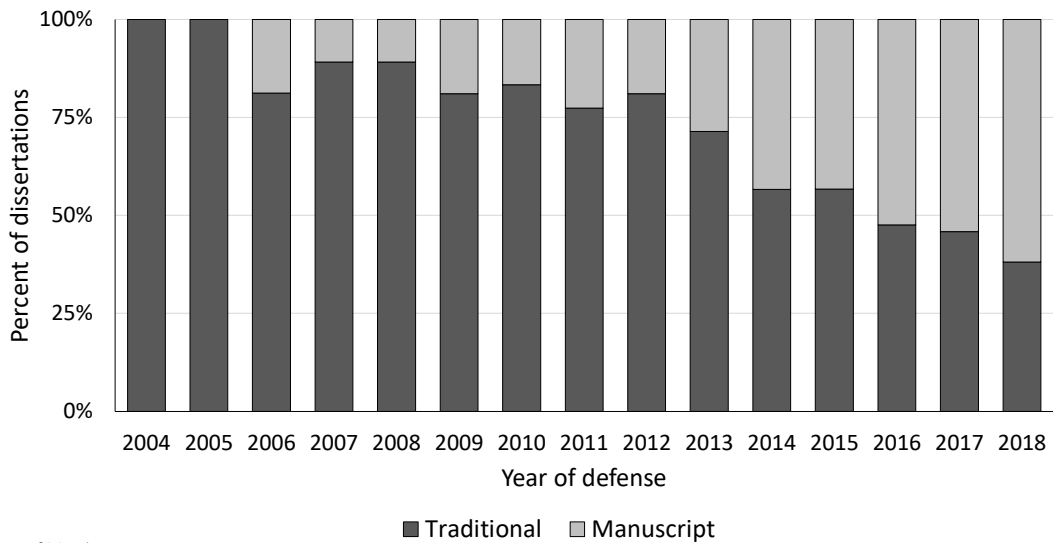
Negative binomial

(Std. Err. adjusted for 12 clusters in institution2)

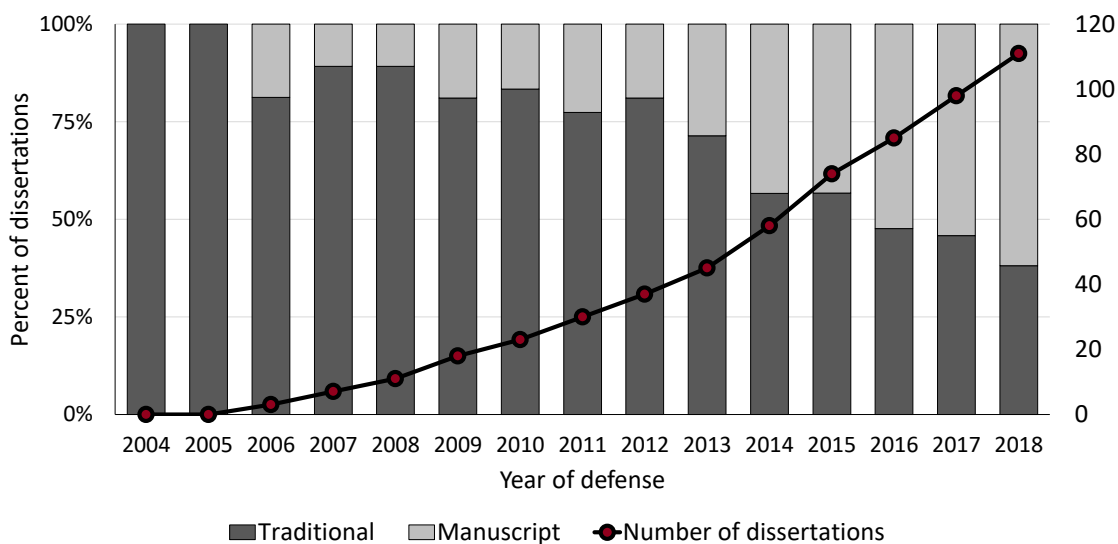
| numberofarticles | Robust | | | | |
|------------------|-----------------|-----------|-------|--------------|--------------------------|
| | IRR | Std. Err. | z | P> z | [95% Conf. Interval] |
| type_int | 2.382798 | .666263 | 3.11 | 0.002 | 1.377462 4.121875 |
| year | .8748053 | .0437623 | -2.67 | 0.008 | .7931035 .9649236 |
| _cons | 1.4e+117 | 1.4e+119 | 2.69 | 0.007 | 6.63e+31 2.8e+202 |

Compared to traditional format dissertations, manuscript format dissertations generated a 2.38 higher rate of published articles.

Study 2 Findings:



Study 2 Findings:



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Study 2 Findings:

Where are our graduates publishing their research?

Manuscript Dissertations

1. *Geriatric Nursing*
2. *International Journal of Nursing Studies*
3. *Journal of Clinical Oncology*
4. *Journal of Nursing Regulation*
5. *Journal of the American Medical Informatics Association*
6. *Medical Care*
7. *Nursing Outlook*
8. *Nursing Research*
9. *Western Journal of Nursing Research*
10. *Wound Repair and Regeneration*

Traditional Dissertations

1. *Advances in Neonatal Care*
2. *Applied Nursing Research*
3. *Biological Research in Nursing*
4. *Clinical Simulation in Nursing*
5. *Early Human Development*
6. *Journal of Obstetric, Gynecologic, and Neonatal Nursing*
7. *Journal of Perinatal and Neonatal Nursing*
8. *Journal of Advanced Nursing*
9. *Journal of Clinical Nursing*
10. *Journal of Nursing Research*

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N=416

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Next Steps

Study 2

- Complete article search
- Additional outcomes
 - Time to publication
 - Number of first-author publications
 - Number of coauthors per article
 - Number of times each article is cited
 - Altmetric outcomes
 - Journal metrics
- Additional analysis considerations
 - Account for institutional adoption date
 - Mixed models
 - Survival analysis
- Ideas for future work
 - Simple time series analysis
 - Does adoption of manuscript option dissertation model increase time-to-publication for traditional dissertations?*

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Study 2: Challenges

- Availability of dissertations
 - Embargoed dissertations
 - Not fully available on ProQuest
- Student names

N=7

Identification errors

- TOC may not clearly distinguish type of dissertation

N=6

Misclassification errors

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Study 2: Limitations

- Institutional sampling frame does not capture all colleges/schools of nursing offering alternative dissertation formats
- Student name changes may result in underestimates of article productivity
- Common student names may result in underestimates
 - ✓ Comprehensive search strategy
- Articles may not be the direct product of the dissertation
 - ✓ Abstract searches
- Scholarly productivity should not be limited to published articles
 - ✓ Searches include books/book chapters, conference presentations, and reports

Conclusions

- Article search underway
 - Only 356 to go!
- What we can say for sure
 - Traditional dissertation format remains most popular
 - Dissertation options are diversifying
 - Students are adopting new dissertation options
- Initial evidence shows support of hypothesized relationship between dissertation format (manuscript) and scholarly productivity



Context: Our plans at WSU

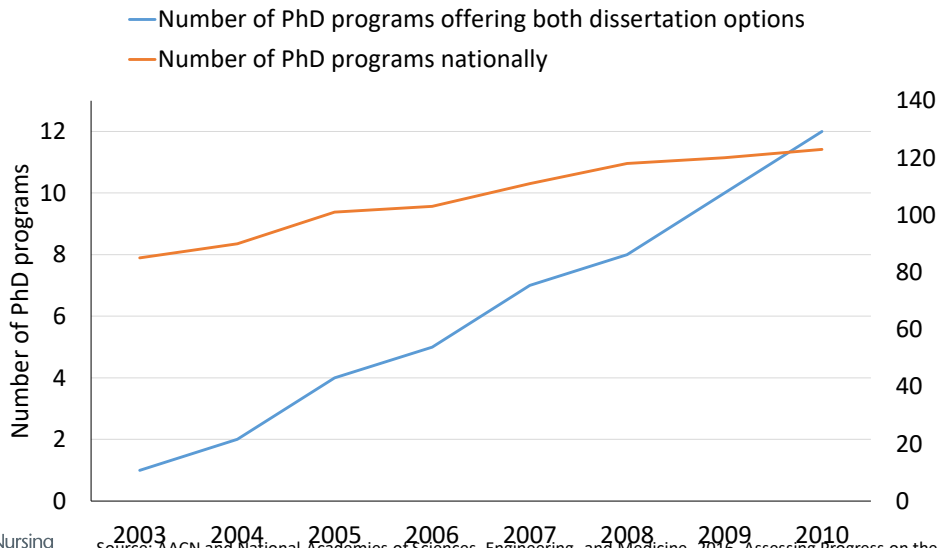
- Finalize policy with PhD Advisory Board
- Consult with Graduate School
- College of Nursing Graduate Faculty must vote to approve
- Bylaws will be changed to include the manuscript option

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Study 2 Findings

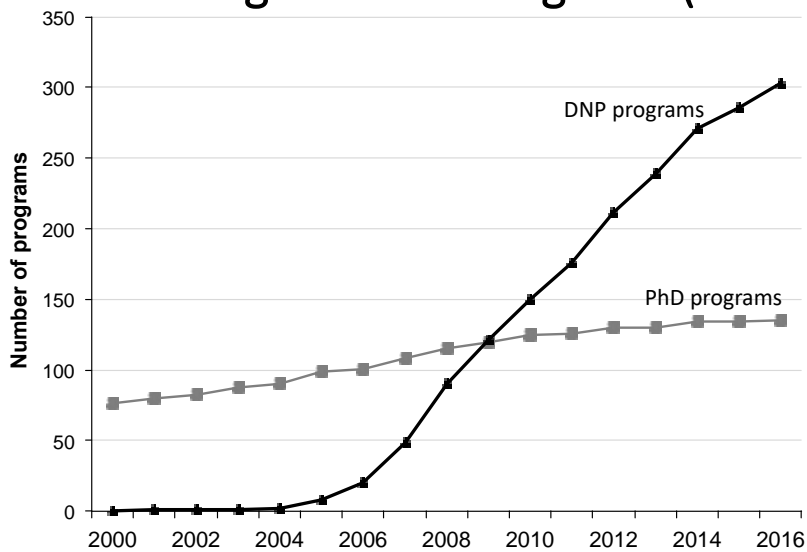


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Source: AACN and National Academies of Sciences, Engineering, and Medicine. 2016. Assessing Progress on the Institute of Medicine Report The Future of Nursing. Washington, DC: The National Academies Press. <https://www.nap.edu/read/21838/chapter/5#86>

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Growth in Nursing Doctoral Programs (2000-2016)



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Source: AACN and National Academies of Sciences, Engineering, and Medicine. 2016. Assessing Progress on the Institute of Medicine Report The Future of Nursing. Washington, DC: The National Academies Press. <https://www.nap.edu/read/21838/chapter/5#86>

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